

CERTIFICATE OF PUBLIC CONVENIENCE AND NECESSITY - FEDERALLY FUNDED CONSTRUCTION PROJECTS

Filing Requirements Checklist

(Applicable Authority: KRS 278.023, 807 KAR 5:001, Section 8, and 807 KAR 5:069)

Case No. _____ Utility Name _____ Received Date _____ Form Circulation Date _____

Instructions:

- 1) Each Division noted by checkmark () is to complete its review and pass on within two days of receipt.
- 2) This form is to list only the specific filing deficiencies as identified in the regulations. If additional information is needed, an information request must be issued.
- 3) Staff member should use initials and list date review is completed.
- 4) Return to Docket Section following review by all Divisions.

Reviewed by Following Divisions:

Staff Member Date

_____	Filings Division	_____	_____
_____	Financial Analysis	_____	_____
_____	Engineering	_____	_____
_____	Legal	_____	_____

<u>Division Responsible</u>	<u>Law/Regulation</u>	<u>Filing Requirement</u>	<u>Requirement Met</u>		<u>Deviation Requested</u>	<u>Requested Deviation Pursuant to 807 KAR 5:069 \$1(7) Supported by Appropriate Motion</u>	
			<u>YES</u>	<u>NO</u>		<u>YES</u>	<u>NO</u>

807 KAR 5:001:

Filings Division	Section 8(1)	Full name and post office address of applicant and a reference to the particular provision of law requiring Commission approval	_____	_____	_____	_____	_____
Filings Division	Section 8(2)	The original and 10 copies of the application with an additional copy for any party named therein as an interested party.	_____	_____	_____	_____	_____

<u>Division Responsible</u>	<u>Law/Regulation</u>	<u>Filing Requirement</u>	<u>Requirement Met</u>		<u>Deviation Requested</u>	<u>Requested Deviation Pursuant to 807 KAR 5:069 §1(7) Supported by Appropriate Motion</u>	
			<u>YES</u>	<u>NO</u>		<u>YES</u>	<u>NO</u>
Legal	Section 8(3)	If applicant is a corporation, a certified copy of the Articles of Incorporation and all amendments thereto <u>or</u> if the articles were filed with the PSC in a prior proceeding, a reference to the style and case number of the prior proceeding.	___	___	___	___	___
	807 KAR 5:069: <u>Section 1</u>						
Engineering		(2)Copy of the documents from the U.S. Department of Agriculture or U.S. Department of Housing and Urban Development, as appropriate, stating approval of the project and including all terms and conditions to be met.	___	___	___	___	___
Engineering		(3)Copy of the letter of concurrence in bid award.	___	___	___	___	___
Engineering		(4)Copy of preliminary and final engineering reports.	___	___	___	___	___
Engineering		(5)Certified statement from an authorized utility official confirming:	___	___	___	___	___

Division Responsible	Law/Regulation	Filing Requirement	Requirement		Deviation Requested	Requested Deviation Pursuant to 807 KAR 5:069 §1(7) Supported by Appropriate Motion	
			Met YES	NO		YES	NO
	807 KAR 5:069: <u>Section 1</u>						
Engineering		(5)(a) That the proposed plans and specifications for the project have been designed to meet the minimum construction and operating requirements set out in 807 KAR 5:066, Section 4(3) and (4), Section 5(1), Sections 6 and 7, Section 8(1) through (3), Section 9(1) and Section 10	___	___	___	___	___
Engineering		(5)(b) That all other state approvals or permits have already been obtained;	___	___	___	___	___
Engineering		(5)(c) That any proposed rates shall produce the total revenue requirements set out in the engineering reports; and	___	___	___	___	___
Engineering		(5)(d) Dates upon which construction will begin and end.	___	___	___	___	___
Legal		(6) That notice to the customers has been given in conformance with Section 2 of this regulation.* A copy of the notice shall be included in the application.	___	___	___	___	___
		*A one-time notice shall be given prior to or at the same time application is made, either by direct mail to each customer or by publication in a newspaper of general circulation in local service area. The notice shall contain the current and proposed rates and a brief description of the construction project.					

<u>Division Responsible</u>	<u>Law/Regulation</u>	<u>Filing Requirement</u>	<u>Requirement</u>		<u>Deviation Requested</u>	<u>Requested Deviation Pursuant to 807 KAR 5:069 §1(7) Supported by Appropriate Motion</u>	
			<u>Met</u>			<u>YES</u>	<u>NO</u>
			<u>YES</u>	<u>NO</u>			
Engineering	807 KAR 5:069: Section 1	(7)If necessary, a motion requesting approval to deviate from any minimum construction standard or operating condition prescribed by Section 1(5)(a) of this regulation. The motion shall be sufficiently supported to identify and explain the reasons that minimum requirements cannot be met.	_____	_____	_____	_____	_____
Engineering	KRS 322.340	At least one copy of preliminary and final engineering report shall be signed, sealed, and dated by a registered professional engineer.	_____	_____	_____	_____	_____